

Robert W. Ray: Representative Matters

- Represented broker at a major brokerage firm in an SEC enforcement proceeding involving market timing before the U.S. District Court for the Southern District of New York
- Represented majority of board of directors of bank in replacing CEO and represented audit committee of same bank in conducting independent internal investigation.
- Represented clinical sales consultant with international pharmaceutical company in federal prosecution brought by the Health Care Fraud Task Force in the U.S. District Court for the District of Massachusetts involving alleged violations of the anti-kickback statute in connection with sales of AIDS medication.
- Represented former senior officer and board member of the N.Y. Stock Exchange (NYSE) in compensation investigation before the N.Y. Attorney General's office and the SEC.
- Represented senior executive at re-insurance company in federal investigation of risk transfer and accounting treatment of insurance transactions before the U.S. District Court for the Southern District of New York and the SEC.
- Represented a water pipe manufacturer in federal prosecution brought by the Environmental Crimes Section of the Department of Justice in the U.S. District Court for District of New Jersey.
- Represented pool chemical manufacturer in federal environmental investigation in the U.S. District Court for Central District of California.
- Represented trader at commodities futures company in federal investigation of alleged violations of the commodities trading laws involving pricing of commodities futures before the Corporate Fraud Task Force in the U.S. District Court for Southern District of Texas and the CFTC.
- Conducted internal investigation of alleged violations of the FCPA on behalf of two international utility companies involving their joint interests in a power generation facility in Lima, Peru.
- Represented major electronic company before the U.S. District Court for Southern District of New York in criminal forfeiture proceedings and obtained return of client's funds.